



## 標準收費表

<b>港交所上市證券交易服務</b>	
- 標準佣金費率	交易金額的 0.25%，最低收費 HK\$100 (可議)
- 印花稅	交易金額的 0.13% (不足 1 元亦作 1 元計)
- 證監會交易徵費	交易金額的 0.0027%
- 財務匯報局交易徵費 (2022 年 1 月 1 日生效)	交易金額的 0.00015% (計至最接近的仙位數)
- 交易所交易費	交易金額的 0.005% (2023 年 1 月 1 日將調整至 0.00565%)
- 交易系統使用費	豁免
- 中央結算系統的股份交收費	交易金額的 0.002% (最少收取 HK\$2，最高 HK\$100)
<b>處理實物股票及交收的服務</b>	
- 款項交收費：對外支帳	
• 客戶匯款 (CHATS)	每次 HK\$380
• 客戶電匯 (TT)	每次 HK\$500
- 存入實物股票費	每張轉手紙 HK\$5
- 提取實物股票費	每手 HK\$5 (最低收費 HK\$100)
	不足一手亦當一手計算
- 轉手紙釐印費	每張轉手紙 HK\$5
- 存倉費	豁免
- 交收指示費 (SI) (只限轉出)	每隻股票計按上日收市市值計 0.05% (最低 HK\$120)
- 投資者交收指示費 (ISI) (只限轉出)	每隻股票計按上日收市市值計 0.05% (最低 HK\$120)
- 強制性補購股份費	交易金額的 0.25% 另加行政費 HK\$1,000
<b>即時報價服務 - 由阿斯達克財經提供</b>	
	(不足一個月亦當一個月計算)
- 香港客戶	每月 HK\$330
- 內地客戶	每月 HK\$230
<b>賬戶服務</b>	
- 港股託管費	豁免
- 不動戶口服務費	豁免
- 獨立股份戶口服務費 (附寄中央結算系統結單服務)	不適用
<b>代理人服務及企業行動</b>	
- 登記過戶費	
• 在截止過戶日期	總結餘出現淨增長時，每手收取 HK\$2.50
• 在被視為截止過戶日期	總結餘出現淨增長時，每手收取 HK\$1.75
- 代收股息	
• 現金股息	所收取股息的 0.50% (最低收費 HK\$20，最高收費 HK\$50,000)
• 以股代息	豁免
- 代收紅股費	每宗交易 HK\$50
- 代收供股權收費	豁免
- 代客行使供股權費 / 公司私有化	每宗交易 HK\$150
	(另加每手收費 HK\$0.80，碎股亦視作一手，由中央結算收取)
- 申請額外供股權費	豁免
- 在股份登記處辦理股份轉名費	每手 HK\$5 (最少收費 HK\$350)
- 辦理股份合併 / 分拆費	豁免
- 行使認股證費用	每宗交易 HK\$100
- 行使備兌認股證費用	每宗交易 HK\$300
- 取消備兌認股證/認股證的證書費用	每手 HK\$1
- 發出備兌認股證/認股證的證書費用	每手 HK\$2
- 代申請索還未領取權益費 / 股息	每宗 HK\$5,000 或 股息的 2% (取較高者)
<b>借貸融資</b>	
- 保證金 (俗稱「孖展」) 帳戶借貸收費	年息為最優惠利率 + 6%
- 現金帳戶過期利息	年息為最優惠利率 + 8%
<b>認購新股</b>	
- 電話下單或通過電子方式認購	每申請 HK\$30 (電子認購限定推廣期間豁免)
- 保證金配資手續費 (不包括孖展利息)	每申請 HK\$100

其他		
-	要求過去交易紀錄報表（只提供 2019 年 4 月 1 日以後紀錄）	每次 HK\$250
-	補發月結單	每份 HK\$250
-	發出賬戶結餘證明書	每份 HK\$250
-	回覆審計確認信	每份 HK\$250
-	實體日結單及月結單郵遞費	每月 HK\$20 (不足一個月亦當一個月計算)
-	公司查冊費	每次 HK\$200
-	銀行支票副本申請	每次 HK\$300
-	CIES 周年申報費用	每年度 HK\$3,000

其他投資產品		
滬港通 / 深港通 (人民幣結算 RMB¥)		
-	經紀佣金	交易金額的 0.25%, 最低收費 RMB¥100
-	經手費 (上交所 / 深交所)	交易金額的 0.00487%
-	證管費 (中國證監會徵收)	交易金額的 0.002%
-	過戶費 (中國結算 / 香港結算)	交易金額的 0.004%
-	交易印花稅 (只限沽盤)(國家稅務總局)	交易金額的 0.10% (不足 1 元亦作 1 元計)
-	組合費	每年月結持有股值的 0.008% (按月收取)
債券		
-	認購費	最高為交易金額的 5%
-	贖回費	最高為交易金額的 5%
-	托管費	每年 0.15%
-	轉出或轉入行政費	按債券票面值收取 0.2%
基金		
-	認購費	最高為交易金額的 5%
-	贖回費	無
-	平台費 (視乎托管平台而定)	最高為每年 0.30%

富強證券有限公司 謹啓  
2022 年 11 月 01 日



### Standard Fees Table

HKEX LISTED TRADE RELATED SERVICES		
-	Standard Commission Rate	0.25% of transaction amount, minimum charge HK\$100 (negotiable)
-	Stamp duty	0.13% of transaction amount (round up to the nearest dollar)
-	SFC transaction levy	0.0027% of transaction amount
-	Financial Reporting Council Transaction Levy (With effect from 1st January 2022)	0.00015% of transaction amount (round up to the nearest cent)
-	HKEX trading fee	0.005% of transaction amount (Will adjust to 0.00565% from 1st Jan 2023)
-	Trading tariff	Waived
-	CCASS stock settlement fee	0.002% of transaction amount (minimum HK\$2 and maximum HK\$100) per transaction
SCRIP HANDLING AND SETTLEMENT-RELATED SERVICES		
-	Money settlement fee: Outward Payment	
-	• CHATS	HK\$380 per transaction
-	• Telegraphic Transfer (TT)	HK\$500 per transaction
-	Physical scrip deposit fee	HK\$5 per transfer deed
-	Physical scrip withdrawal fee	HK\$5 per board lot or odd lot, minimum charge HK\$100
-	Transfer deed stamp duty	HK\$5 per transfer deed
-	Deposit transaction charge	Waived
-	Settlement instruction fee (SI) (for deliver instruction)	0.05% on value at previous trading day per stock code (minimum HK\$120)
-	Investor settlement instruction fee (ISI) (for deliver instruction)	0.05% on value at previous trading day per stock code (minimum HK\$120)
-	Compulsory shares buy-back fee	0.25% of transaction amount plus HK\$1,000 handling charge
REAL TIME QUOTATION SERVICE – powered by AASTOCKS		
		(Charge on calendar-month basis)
-	Hong Kong User	HK\$330 per calendar month
-	Mainland China User	HK\$230 per calendar month
ACCOUNT MAINTENANCE		
-	Custody fee of HK stocks	Waived
-	Dormant account fee	Waived
-	Stock segregated account (with CCASS Statement) services fee	N/A
NOMINEE SERVICES AND CORPORATE ACTIONS		
-	Scrip Fee	
-	• At book-closed dates	HK\$2.50 per board lot on net increase in aggregate balance
-	• At deemed book-closed dates	HK\$1.75 per board lot on net increase in aggregate balance
-	Collection of dividends	
-	• Cash dividend	0.50% of dividend collected (minimum HK\$20 maximum \$50,000)
-	• Scrip dividend	Waived
-	Collection fee of bonus issue	HK\$50 per collection
-	Rights issue entitlement charge	Waived
-	Fee for rights exercise / takeover	HK\$150 per transaction plus CCASS charges HK\$0.80 per board lot (odd lot round up to board lot)
-	Fee for excess rights application	Waived
-	Fee for change of registration at share registrar	HK\$5 per board lot (minimum charge HK\$350)
-	Fee for handling share consolidation/ split	Waived
-	Exercise warrant fee	HK\$100 per transaction
-	Exercise covered warrant fee	HK\$300 per transaction
-	Fee for cancel certificate of covered warrant / warrant	HK\$1 per board lot
-	Scrip fee for new certificate of covered warrant / warrant	HK\$2 per board lot
-	Fee for claiming unclaimed benefit entitlements	HK\$500 per claim or 2% of the dividend amount per transaction, whichever higher
FINANCING		
-	Margin account financing charge	Prime Rate plus 6% per annum
-	Cash account overdue interest	Prime Rate plus 8% per annum
IPO APPLICATION		
-	Order by phone or by electronic platform	HK\$30 per application (EIPO temporary waived for a limited period of time)
-	Margin facility admin fee (loan interest exclusive)	HK\$100 per application

OTHER SERVICES		
-	Request of transactions history report (only records after 1 <sup>st</sup> April 2019 could be provided)	HK\$250 per request
-	Re-issue of monthly statement	HK\$250 per statement
-	Issue of account balance confirmation letter	HK\$250 per letter
-	Audit confirmation	HK\$250 per confirmation
-	Postage for physical Daily & Monthly Statement	HK\$20 per calendar month
-	Company Search fee	HK\$200 per search
-	Cheque copy application fee	HK\$300 per copy
-	CIES annual reporting fee	HK\$3,000 per calendar year
OTHER INVESTMENT PRODUCTS		
SHZNGHAI/SHENZHEN CONNECT (RMB¥)		
-	Brokerage commission	0.25% of transaction amount, minimum charge RMB¥100
-	Handling fee (SSE / SZSE)	0.00487% of transaction amount per side
-	Securities Management fee (CSRC)	0.002% of transaction amount per side
-	Transfer fee (CHINA CLEAR & CCASS)	0.004% of transaction amount
-	Stamp duty (for sale instruction) (SAT)	0.1% of transaction amount (round up to the nearest dollar)
-	Portfolio Fee	0.008% of month-end stock portfolio value per annum (collected on a monthly basis)
BONDS		
-	Subscription fee	Maximum 5% per transaction
-	Redemption fee	Maximum 5% per transaction
-	Custody fee	0.15% per annum
-	Transfer in or out	0.2% of the nominal value of the bond
FUNDS		
-	Subscription fee	Maximum 5% per transaction
-	Redemption fee	Nil
-	Platform fee (subject to the custody platform)	Maximum 0.30% per annum

Fortune (HK) Securities Limited  
1st November 2022